



JCO Rec'd PCT/PTO 15 MAR 2002

TECH CENTER 1600/2900

APR 10 2002

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Seipel et al.
Appl. No. : 09/936,188
Filed : 01/02/2002
Title : Oil Baths

Grp./A.U. : 1619
Examiner : Unknown

Docket No. : H 3980 PCT/US

CERTIFICATE OF MAILING PER 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, DC 20231, on March 6, 2002.

March 6, 2002
Date

Desha Henfield
Signature of certifier

Desha Henfield
Typed or printed name of certifier

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Sir:

We hereby request the following correction of the Filing Receipt for Serial No. 09/936,188.

The Third Inventor's Address in the above reference application should be corrected to read:

Josef Koester, Dusseldorf, GERMANY

Thank you for your assistance in this matter.

March 6, 2002
Date

Cognis Corporation
2500 Renaissance Blvd. St. 200
Gulph Mills, PA 19406

Enc.: (1) Filing Receipt (true copy)
(2) Declaration

ARE/dh

Respectfully submitted,

Aaron R. Ettelman
Aaron R. Ettelman
(Reg. No. 42,516)
Attorney for Applicants
(610) 278-4930



MAR 15 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

cc: I. Kolodziej

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/936,188	01/02/2002	1619	990	H 3980 PCT/US		20	3

 23657
 COGNIS CORPORATION
 2500 RENAISSANCE BLVD., SUITE 200
 GULPH MILLS, PA 19406

CONFIRMATION NO. 2344

FILING RECEIPT



OC00000007321196

RECEIVED

Date Mailed: 01/31/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (RECEIVED COGNIS LAW DEPT.

ACTION _____ INFO _____

Applicant(s)

 Werner Seipel, Hilden, GERMANY;
 Hermann Hensen, Haan, GERMANY;
 Josef Koester, Dueseldorf, GERMANY; Dueseldorf
 Norbert Boyxen, Kempen, GERMANY;
 Celia Kosboth, Duisburg, GERMANY;

FEB 11 2002

 DUE bms
 FILE _____

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP00/01814 03/02/2000

Foreign Applications

GERMANY 199 10 704.1 03/10/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

Title

Oil baths

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

"Express Mail" mailing label number EL541614126US

PTO/SB/01 (6-95)
Approved for use through: 10/31/98 OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Type a plus sign (+) inside this box - ☐

0040/PATC
Rev. 06/99
MAR 15 2002
U.S. Department of Commerce
Patent and Trademark Office

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration
Submitted
with Initial Filing

OR ☒

Declaration
Submitted after
Initial Filing

Attorney Docket
Number

H 3980 PCT/US

First Named
Inventor

SEIPEL, Werner

COMPLETE IF KNOWN

Application Number

09/936,188

Filing Date

01/02/2002

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

OIL BATHS

(Title of the invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

03/02/2000

as United States Application Number or PCT International

Application Number

PCT/EP00/01814

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
199 10 704.1	DE	03/10/1999	<input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
		<input type="checkbox"/>

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

"Express Mail Post Office
to Addressee" service
Mailing Label Number

DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP00/01814	03/02/2000	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name Customer Number or label

☒ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
John E. Drach	32,891	Aaron R. Ettelman	42,516
Steven J. Trzaska	36,296	Henry E. Millson, Jr.	18,980

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number ☐ or label **23657** OR ☐ Fill in correspondence address below ☒

Name	Aaron R. Ettelman		
Address			
Address			
City	State	ZIP	
Country	Telephone	610-278-4930	Fax 610-278-6548

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned

Given Name	Werner	Middle Initial		Family Name	Seipel	Suffix e.g. Jr.	
Inventor's Signature	<i>Werner Seipel</i>				Date	Sept. 11, 2001	
Residence: City	Hilden	State		Country	Germany	Citizenship	Germany
Post Office Address	Hofstrasse 29						
Post Office Address							
City	40723 Hilden	State		Zip		Country	Germany
Applicant Authority							

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Hermann	Middle Initial		Family Name	Hensen	Suffix e.g. Jr.	
Inventor's Signature	<i>Hermann Hensen</i>				Date	Sept. 11, 2001	
Residence: City	Haan	State		Country	Germany	Citizenship	Germany
Post Office Address	Rathmacherweg 13						
Post Office Address							
City	42781 Haan	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Josef	Middle Initial		Family Name	Koester	Suffix e.g. Jr.	
Inventor's Signature	<i>Josef Koester</i>				Date	Sept. 11, 2001	
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address	Faehrstrasse 226						
Post Office Address							
City	40221 Duesseldorf	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Norbert	Middle Initial		Family Name	Boyxen	Suffix e.g. Jr.	
Inventor's Signature	<i>Norbert Boyxen</i>				Date	Sept. 11, 2001	
Residence: City	Kempen	State		Country	Germany	Citizenship	Germany
Post Office Address	Rosenstrasse 29						
Post Office Address							
City	47906 Kempen	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Celia	Middle Initial		Family Name	Kosboth	Suffix e.g. Jr.	
Inventor's Signature	<i>Celia Kosboth</i>				Date	Sept. 11, 2001	
Residence: City	Duisburg	State		Country	Germany	Citizenship	Germany
Post Office Address	Sternbuschweg 87						
Post Office Address							
City	47067 Duisburg	State		Zip		Country	Germany
						Applicant Authority	
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto							